

# Irrevocable Contribution Form and Letter of Instruction

Use this form to make irrevocable contributions to a Fidelity Charitable<sup>®</sup> Giving Account<sup>®</sup> or a Giving Account<sup>®</sup> that is enrolled in the Charitable Investment Advisor Program (CIAP). All required fields are marked with an asterisk (\*).

- ☐ Assets held at Fidelity Investments: Complete sections 1, 2A, 3, and 5.
- ☐ Assets held outside of Fidelity Investments: Complete sections 1, 3, 4, and 5.
- ☐ Checks, wires, or stock certificates: Complete sections 1, 2B, 3, and 5.
- ☐ To contribute restricted stock, stock from a Dividend Reinvestment Plan (DRIP), or other non-publicly traded assets please contact Fidelity Charitable<sup>®</sup> at 800-262-6039.

## 1. Giving Account and Donor Information

Who is making this irrevocable contribution to Fidelity Charitable? The information provided will be used to generate a tax receipt to the person or entity (e.g., trust, partnership, or corporation) making the irrevocable contribution.

Giving Account Number*	Giving Account Name

- ☐ Account Holder      ☐ Third-Party Donor

First Name*	M.I.	Last Name*

- ☐ Entity

Entity Legal Name (e.g., The Smith Family Trust)

Phone numbers are for questions about this request only; they will not update your Fidelity Charitable contact information.

### Contact Information

Preferred Phone*			
Address*			
City*	State/Province*	ZIP/Postal Code*	Country*
Social Security or Tax Identification Number*			

Check one.      ☐ U.S. citizen      ☐ U.S. resident alien

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## 2. Irrevocable Contribution

Complete the applicable section below. If your employer matches charitable contributions to charities with donor-advised fund programs, please include the appropriate company paperwork.

### 2A. Assets from a Fidelity Investments Account

#### I. Cash

☐ Contribute cash held at Fidelity

Cash Transfer Amount*	Fidelity Account Number*
\$	

#### II. Stocks, Securities, or Mutual Funds

Contribute the following stocks, securities, money market funds, and mutual funds held at Fidelity. All trades are transacted in whole shares. If a partial share is provided, Fidelity Charitable will round down to the next whole number unless the entire position is being contributed.

Description of Assets*	Symbol*	Fidelity Account Number*
Number of Shares*	Lot Acquisition Date <small>Optional MM DD YYYY</small>	Lot Acquisition Cost <small>Optional</small>
		\$

Description of Assets*	Symbol*	Fidelity Account Number*
Number of Shares*	Lot Acquisition Date <small>Optional MM DD YYYY</small>	Lot Acquisition Cost <small>Optional</small>
		\$

By initialing below, You (an account owner of a Fidelity brokerage account) are 1) authorizing National Charitable Services Corp. ("NCS") to accept instructions from You and/or your Authorized Interested Party/Agent/Advisor ("Your Agent") to transfer cash and securities from a Fidelity nonretirement brokerage account to Fidelity Charitable, as a charitable contribution, without requiring additional written instructions from You; and 2) authorizing Fidelity Brokerage Services LLC and National Financial Services LLC to act on such instructions directly from NCS without additional authorizations from You. This authorization shall apply only to nonretirement Fidelity brokerage accounts on which You are registered as an owner ("Fidelity Account"), and in the case of Your Agent, for which Your Agent has been authorized with full trading authority or asset movement authorization level 1 or 2. This authorization will be relied upon only when You or Your Agent provides contribution instructions to Fidelity Charitable.

Action Needed \_\_\_\_\_ X Initial Here.

### 2B. Cash and Stock Certificates held outside of Fidelity

#### III. Check or Wire

Check Amount*
\$
Wire Amount*
\$

Make checks payable to Fidelity Charitable and reference the Giving Account number or name in the memo section.

Bank Name*
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Fidelity Charitable will not initiate this wire transfer. Please see page 6 for wire instructions.

#### IV. Stock Certificates Held in Personal Possession

Contribute the following stock certificates. Note: Please obtain a **Medallion Signature Guarantee** stamp on the stock certificate. When endorsing the certificate(s) write "National Financial Services LLC" between the words "appoint" and "attorney" in the space provided on each certificate. **Date and Sign** the certificate exactly as your name(s) appears on the front. Mail certificate along with this form via registered mail.

Name of Stock*	Number of Shares*
Name of Stock*	Number of Shares*

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### 3. Investment Selection

#### A. Managed Investments

This section is only for Giving Accounts enrolled in the Charitable Investment Advisor Program (CIAP).

Please note: All contributions can be transferred directly to the managed account. Any contributions that are transferred to the Fidelity Z97-000442 will be transferred in kind to the advisor-managed account and will only be liquidated if the brokerage account is not custodied with Fidelity NFS.

If you would like your contribution to be allocated to the Giving Account investment pools, as opposed to the managed account, please proceed to section B below.

☐ Managed Account Number \_\_\_\_\_

#### B. Investment Pool Selection

Please recommend how you would like to allocate your irrevocable contribution. If you do not make a recommendation, your contribution will be allocated to reflect the current pool allocation of your Giving Account. If your account is unfunded, your contribution will be allocated to the Conservative Income pool.

**Please Note: If a pool is selected below, your asset(s) will be liquidated and allocated to the selected pool(s).**

Check one.

☐ Allocate this irrevocable contribution in the same percentages as my current investment pools.

OR

☐ Allocate this irrevocable contribution as follows:

Choose from option 1, 2, 3, 4, or a combination of the four. The combined total must equal 100%.

##### Option 1: Charitable Legacy Pool Percentage of total

For donors seeking an "all-weather" asset allocation pool with exposure to both traditional and non-traditional asset classes.

Charitable Legacy \_\_\_\_\_ %

##### Option 2: Asset Allocation Pools Percentage of total

For donors seeking instant diversification to multiple asset classes in a single investment.

Aggressive Growth (85% Equity) \_\_\_\_\_ %

Growth (70% Equity) \_\_\_\_\_ %

Moderate Growth (60% Equity) \_\_\_\_\_ %

Balanced (50% Equity) \_\_\_\_\_ %

Moderate Income (40% Equity) \_\_\_\_\_ %

Income (30% Equity) \_\_\_\_\_ %

Conservative Income (20% Equity) \_\_\_\_\_ %

##### Option 3: Sustainable and Impact Investing Pools Percentage of total

For donors who seek strategies that consider social and environmental factors, while emphasizing financial returns.

Sustainable U.S. Index \_\_\_\_\_ %

Sustainable U.S. Index Access \_\_\_\_\_ %

Sustainable International Index \_\_\_\_\_ %

Environmental Impact Access \_\_\_\_\_ %

##### Option 4: Single Asset Class Pools Percentage of total

For donors who want to build a custom strategy combining pools with specific investment objectives for Giving Account balances.

###### Domestic Stock

U.S. Equity \_\_\_\_\_ %

U.S. Equity Access \_\_\_\_\_ %

Total Market Index \_\_\_\_\_ %

###### Foreign Stock

International Equity \_\_\_\_\_ %

International Equity Access \_\_\_\_\_ %

International Index \_\_\_\_\_ %

###### Bond

Fixed Income \_\_\_\_\_ %

Fixed Income Access \_\_\_\_\_ %

U.S. Bond Index \_\_\_\_\_ %

Short-Term Fixed Income Access \_\_\_\_\_ %

###### Money Market

Money Market \_\_\_\_\_ %

COMBINED TOTAL MUST EQUAL: 1 0 0 %

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## LETTER OF INSTRUCTION

### 4. Contribute Securities or Mutual Funds<sup>†</sup> Held at a Financial Institution Other than Fidelity

<sup>†</sup>Note: If you are transferring mutual funds, please select **option 2**. Fidelity Charitable must initiate the transfer of mutual funds on your behalf and will take approximately three to six weeks to complete.

☐ Option 1: I will initiate the transfer of assets by completing the following steps:

- 1) If enrolled in CIAP, and the CIAP account is not custodied with National Financial Services, deliver directly to the managed account. All others see the following instructions. Contact the financial institution directly to obtain their required procedures and forms, and then complete and deliver with the following instructions:  
Deliver to DTC Clearing 0226  
Account Number: Z97-000442  
FBO: Fidelity Charitable Giving  
Account Number: \_\_\_\_\_
- 2) Complete this form and mail or fax to Fidelity Charitable. Fax: 877-665-4274. Note: A separate form must be filled out for transfers from separate financial institutions.

OR

☐ Option 2: I authorize Fidelity Charitable to initiate the transfer of assets by completing the following steps:

- 1) Complete this form and mail or fax to Fidelity Charitable. Fax: 877-665-4274.
- 2) Include a copy of the most recent account statement from which the assets will be transferred.

TO:

Fidelity Charitable Giving Account Number*
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FROM:

Delivering Firm Name*		Advisor/Broker Name*	
Preferred Phone*		Extension	
Address*			
City*	State/Province*	ZIP/Postal Code*	Country*
Account Number at Delivering Firm*			

Note: By providing your Advisor's name and phone number, you are authorizing Fidelity Charitable to release information regarding this transfer to your Advisor for the purpose of completing the transfer.

Tax lots entered are provided to the firm from which the assets are transferring. Fidelity Charitable cannot guarantee that those tax lots will be delivered at the time of transfer.

TRANSFER:

Number of Shares*	Approximate Dollar Amount <i>if known</i>	OF	Description of Assets/Name of Security*
Lot Acquisition Date <i>Optional MM DD YYYY</i>	Lot Acquisition Cost <i>Optional</i>		Symbol*
		OF	Description of Assets/Name of Security*
			Symbol*

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## 5. Signatures and Dates

By signing below, you:

- Acknowledge that you have read the current *Fidelity Charitable Policy Guidelines: Program Circular* and (for CIAP account holders) the *Charitable Investment Advisor Program: Investment Policies and Guidelines* and agree to the terms and conditions described therein.
- Understand that any contribution, once accepted by the Trustees, represents an irrevocable contribution to Fidelity Charitable and is not refundable.
- Acknowledge that you did not receive goods or services in exchange for, or in consideration of, this irrevocable contribution.
- Understand that, if you are a third-party contributor, you may be eligible for a tax deduction, but you will not obtain any Giving Account advisory privileges.
- Certify that to the best of your knowledge, all information presented in connection with this form is accurate.
- Will promptly notify Fidelity Charitable in writing of any changes.

Donor or Authorized Party Name*	
Date* MM DD YYYY	
DATE	
Signature*	
SIGN	

**AUTHORIZED FOR FIDELITY USE ONLY**  
MEDALLION SIGNATURE GUARANTEE

Additional Signatory Name* (if dual signatures are required by firm)	
Date* MM DD YYYY	
DATE	
Signature*	
SIGN	

**AUTHORIZED FOR FIDELITY USE ONLY**  
MEDALLION SIGNATURE GUARANTEE

Note: For FCCS  
Advisors only  
(AMA <sup>1</sup> or <sup>2</sup>).

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Under the policies of Fidelity Charitable, and in accordance with the anti-money laundering regulations applicable to the various financial institutions that provide financial services to Fidelity Charitable, we obtain, record, and may verify information that identifies each person who establishes a Giving Account at Fidelity Charitable, and other people who contribute or have access to the Giving Account.

*What this means:* Fidelity Charitable will ask for the name, address, Social Security number, date of birth, and other information that will allow us to identify people with access to the Giving Account. We may also ask to see individual driver's licenses or other identifying documents, and we may verify the information we obtain.

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## Delivery Options

Mail this form to Fidelity Charitable:

**Regular mail**

Fidelity Charitable  
PO Box 770001  
Cincinnati, OH 45277-0001

**Overnight mail**

Fidelity Charitable  
100 Crosby Parkway KC1D-FCS  
Covington, KY 41015-4325

OR

Fax the form to Fidelity Charitable:

877-665-4274

**If the delivering broker requires an original signature, you must mail the form to Fidelity Charitable. We cannot accept an original signature via fax.**

**Note to Broker-Dealers:** For delivery instructions, visit [FidelityCharitable.org/docs/broker-dealer-instructions.pdf](https://FidelityCharitable.org/docs/broker-dealer-instructions.pdf).

Delivery instructions for contributing assets held outside Fidelity Investments:

**DTC-Eligible Securities**

Deliver to DTC Clearing 0226  
Account Number: Z97-000442  
FBO: Fidelity Charitable Giving Account Number: \_\_\_\_\_

**Wire Instructions**

Wire to: JPMorgan Chase Bank, New York, NY  
ABA Number: 021000021  
For credit to: National Financial Services LLC, Account: 066196-221  
FBO: Fidelity Charitable, Z97-000442  
Fidelity Charitable Giving Account Number: \_\_\_\_\_

**Please note:** Fidelity Charitable will not initiate wire transfers.

Fidelity Charitable is the brand name for the Fidelity Investments® Charitable Gift Fund, an independent public charity with a donor-advised fund program. Various Fidelity companies provide services to Fidelity Charitable. Fidelity Charitable and Fidelity are registered service marks, and the Fidelity Charitable logo is a service mark, of FMR LLC, used by Fidelity Charitable under license. Giving Account is a registered service mark of the Trustees of Fidelity Charitable. 880597.1.0 (04/2019)